## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Ashkenazi et al.

Serial No.: not yet assigned

Filed: herewith

For: SECRETED AND TRANSMEMBRANE POLYPEPTIDES AND NUCLEIC ACIDS

ENCODING THE SAME

Group Art Unit: not yet assigned

Examiner: not yet assigned



#### **ASSOCIATE POWER OF ATTORNEY (37 CFR 1.34)**

Assistant Commissioner of Patents Washington, D.C. 20231

Sir:

Please recognize as Associate Attorney in this case:

Ginger R. Dreger Reg. No. 33,055 CUSTOMER NO. 30,313

Please direct all communications relative to said pending patent application to the following address:

Ginger R. Dreger Knobbe Martens Olson & Bear 201 California Street, Suite 1150 San Francisco, CA 94111 Telephone: 415-217-8381

Respectfully submitted, GENENTECH, INC.

Date: October <u>24</u>, 2001

Elizabeth M. Barnes

Telephone No. 650-225-4563

Reg. No. 35,059

09157
PATENT TRADEMARK OFFICE

# COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

#### SECRETED AND TRANSMEMBRANE POLYPEPTIDES AND NUCLEIC ACIDS ENCODING THE SAME

the specification of which (check one) is attached hereto or  $\underline{X}$  was filed on  $\underline{July 30, 2001}$  as Application Serial No.  $\underline{09/918,585}$  and was amended on \_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

lacknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56.

Thereby claim foreign priority benefits under Title 35, United States Code, §119(a)-(d) of any foreign application(s) for patent or

inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate have a filling date before that of the application on which priority is claimed:

Prior Foreign Application(s):

Priority Claimed
Yes No

Number Country Day/Month/Year Filed

Thereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional applications(s) listed below:

# Please see attached Appendix A (Listing 110 U.S. Provisional Applications) Application Ser. No. Filing Date

Thereby claim the benefit under Title 35, United States Code, §120 of any United States applications(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

Please see attached Appendix B (Listing 26 U.S. and 38 PCT Patent Applications)			
Application Ser. No.	Filing Date	Status: Patented, Pending, Abandoned	
Application Ser. No.	Filing Date	Status: Patented, Pending, Abandoned	

**POWER OF ATTORNEY**: As a named inventor, I hereby appoint all Attorney(s) and/or Agent(s) associated with the following Patent Office Issued Customer Number to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

09157
PATENT TRADEMARK OFFICE

Send correspondence to Genentech, Inc.

Attn: Elizabeth M. Barnes, Ph.D. Telephone: (650) 225-4563

I hereby declare that all statements made herein of my own knowledge and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the United States Code and that willful false statements may jeopardize the validity of the application or any patent issued thereon.

The undersigned hereby authorizes the U.S. attorney or agent named herein to accept and follow instructions from his foreign patent agent as to any action to be taken in the Patent and Trademark Office regarding this application without direct communication between the U.S. attorney or agent and the undersigned. In the event of a change in the persons from whom instructions may be taken, the U.S. attorney or agent named herein will be so notified by the undersigned.

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Date

Date

Date

Date

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Full name of eight joint inventor, if any

J. Christopher Grimaldi Inventor's signature  Date  Residence 1434 36th Avenue, San Francisco, CA 94122  Citizenship US  Post Office Address 1 DNA Way South San Francisco, CA 94080  Full name of fifteenth joint inventor, if any Austin L. Gurney  Inventor's signature  Date  Residence 1 Debbie Lane, Belmont, CA 94002  Citizenship US  Post Office Address	Full name of twelfth joint inventor, if any	
Residence 110 Corgo Street, San Francisco, CA 94131  Citizanship CA  Dost Office Address 1 DNA Way South San Francisco, CA 94080  Full name of trinteenth joint inventor  Paul J. Godowski  Inventor's signature    Paul J. Godowski  Inventor's signature   Paul J. Godowski  Inventor's signature   Paul J. Godowski  Inventor's signature   Paul J. Godowski  Inventor's signature   Paul J. Godowski  Inventor's signature   Paul J. Godowski  Inventor's signature   Paul J. Godowski  Inventor's signature   Paul J. Godowski  Inventor's signature   Paul J. Godowski  Inventor's signature   Date   Paul J. Godowski  Inventor's signature   Date   California di  Inventoria signature   Date   California di  Inventoria signature   Date   California di	Audrey Goddard	
110 Congo Street, San Francisco, CA 94131 Citizenship CA Peat Office Address 1 DNA Way South San Francisco, CA 94080 Full name of thirteenth joint inventor Paul J. Godowski inventor's signature Inve	Inventor's signature	Date
Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of thirteenth joint inventor Paul J. Godowski Inventor's signature  Post Office Address 1 DNA Way South San Francisco, CA 94010 Citizenship US Full name of fourteenth joint inventor, if any J. Christopher Grimaldi Inventor's signature  Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of fourteenth joint inventor, if any J. Christopher Grimaldi Inventor's signature  Post Office Address 1 DNA Way South San Francisco, CA 94122 Citizenship US Fost Office Address 1 DNA Way South San Francisco, CA 94080 Full name of fitteenth joint inventor, if any Austin L. Gurney Inventor's signature  Date  Residence 1 DNA Way South San Francisco, CA 94080 Full name of fitteenth joint inventor, if any Austin L. Gurney Inventor's signature  Date  Residence 1 Debble Lane, Belmont, CA 94002 Citizenship US Post Office Address 1 DNA Way Date  Residence 1 Debble Lane, Belmont, CA 94002 Citizenship US Post Office Address 1 DNA Way DNA WA		
1 DNA Way South San Francisco, CA 94080 Full name of thirteenth joint inventor Paul J. Godowski Inventor's signature		
Paul J. Godowski  Inventor's signature	1 DNA Way	
Inventor's signature   part	Full name of thirteenth joint inventor	
Residence 287 Easton Drive, Burlingame, CA 94010 287 Easton Drive, Burlingame, CA 94010 US	Paul J. Godowski	
Zest Easton Drive, Burlingame, CA 94010  Citizenship US  Total Navay South San Francisco, CA 94080  Total Francisco, CA 94122  Citizenship US  Post Office Address 1 DNA Way South San Francisco, CA 94080  Full name of fifteenth joint inventor, if any Austin L. Gurney  Inventor's signature  Date  Residence 1 Debbie Lane, Belmont, CA 94002  Citizenship US  Post Office Address 1 Debbie Lane, Belmont, CA 94002  Citizenship US  Post Office Address 1 Doblow Lane, Belmont, CA 94002  Citizenship US  Post Office Address 1 DNA Way		Date
Post Office Address 1 DNA Way South San Francisco, CA 94080  Full-hame of fourteenth joint inventor, if any J. Christopher Grimaldi Inventor's signature Pastidence 1494 36th Avenue, San Francisco, CA 94122 Citizenship US  Post Office Address 1 DNA Way South San Francisco, CA 94080  Full name of fifteenth joint inventor, if any Austin L. Gurney Inventor's signature Date  Residence 1 Debbie Lane, Belmont, CA 94002  Citizenship US  Residence 1 Debtie Lane, Belmont, CA 94002  Citizenship US		
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Residence 1434 36* Avenue, San Francisco, CA 94122  Citizenship US  Post Office Address 1 DNA Way South San Francisco, CA 94080  Full name of fifteenth joint inventor, if any Austin L. Gurney  Inventor's signature  Date  Pest Office Address 1 Debbie Lane, Belmont, CA 94002  Citizenship US  Post Office Address 1 DNA Way	Full-name of fourteenth joint inventor, if any  J. Christopher Grimaldi	
1434 36th Avenue, San Francisco, CA 94122  Citizenship US  Post Office Address 1 DNA Way South San Francisco, CA 94080  Full name of fifteenth joint inventor, if any Austin L. Gurney  Inventor's signature  Date  Residence 1 Debbie Lane, Belmont, CA 94002  Citizenship US  Post Office Address 1 DNA Way	Inventor's signature	Date
Post Office Address 1 DNA Way South San Francisco, CA 94080  Full name of fifteenth joint inventor, if any Austin L. Gurney  Inventor's signature  Date  Residence 1 Debbie Lane, Belmont, CA 94002  Citizenship US  Post Office Address 1 DNA Way	Residence 1434 36 <sup>th</sup> Avenue, San Francisco, CA 94122	
1 DNA Way South San Francisco, CA 94080  Full name of fifteenth joint inventor, if any Austin L. Gurney  Inventor's signature  Date  Residence 1 Debbie Lane, Belmont, CA 94002  Citizenship US  Post Office Address 1 DNA Way	Citizenship US	
Austin L. Gurney  Inventor's signature  Date  Residence 1 Debbie Lane, Belmont, CA 94002  Citizenship US  Post Office Address 1 DNA Way	Post Office Address 1 DNA Way South San Francisco, CA 94080	
Inventor's signature  Residence 1 Debbie Lane, Belmont, CA 94002  Citizenship US  Post Office Address 1 DNA Way	Full name of fifteenth joint inventor, if any	
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1 Debbie Lane, Belmont, CA 94002  Citizenship US  Post Office Address 1 DNA Way	Inventor's signature	Date
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1 DNA Way	Citizenship US	
	Post Office Address 1 DNA Way South San Francisco, CA 94080	

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Post Office Address 1 DNA Way

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James Pan Inventor's signature Date Residence 2705 Coronet Boulevard, Belmont, CA 94002 Citizenship CA Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of twenty-first joint inventor, if any Nicholas F. Paoni Inventor's signature Date Residence 1756 Terrace Drive, Belmont, CA 94002 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of twenty-second joint inventor Margaret Ann Roy Inventor's signature Date Residence 2960 Webster Street #4, San Francisco, CA 94123 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of twenty-third joint inventor, if any David L. Shelton Inventor's signature Date Residence 5845 Clover Drive, Oakland, CA 94618 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080

Full name of twentieth joint inventor, if any

Timothy A. Stewart Inventor's signature Date Residence 465 Douglass Street, San Francisco, CA 94114 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of twenty-fifth joint inventor **Daniel Tumas** Inventor's signature Date Residence 3 Rae Avenue, Orinda, CA 94563 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of twenty-sixth joint inventor, if any P. Mickey Williams Inventor's signature Date Résidence 509 Alto Avenue, Half Moon Bay, CA 94019 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of twenty-seventh joint inventor, if any William I. Wood Inventor's signature Date Residence 35 Southdown Court, Hillsborough, CA 94010 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080

Full name of twenty-fourth joint inventor, if any

### <u>APPENDIX A</u>

I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional applications listed below:

- 60/062250 filed October 17, 1997 1.
- 60/064249 filed November 3, 1997 2.
- 60/065311 filed November 13, 1997 3.
- 60/066364 filed November 21, 1997 4.
- 60/077450 filed March 10, 1998 5.
- 60/077632 filed March 11, 1998 6.
- 60/077641 filed March 11, 1998 7.
- 60/077649 filed March 11, 1998 8.
- 60/077791 filed March 12, 1998 9.
- 60/078004 filed March 13, 1998 10.
- 11 60/078886 filed March 20, 1998
- 60/078936 filed March 20, 1998
- 13. 60/078910 filed March 20, 1998
- 14. 60/078939 filed March 20, 1998
- 60/079294 filed March 25, 1998 15.
- 60/079656 filed March 26, 1998 16
- 60/079664 filed March 27, 1998 17.
- 60/079689 filed March 27, 1998
- 18. 19. 60/079663 filed March 27, 1998
- 60/079728 filed March 27, 1998
- 60/079786 filed March 27, 1998
- 20. 21. 22. 60/079920 filed March 30, 1998
- 60/079923 filed March 30, 1998 23.
- 60/080105 filed March 31, 1998 24.
- 60/080107 filed March 31, 1998 25.
- 60/080165 filed March 31, 1998 26.
- 60/080194 filed March 31, 1998 27.
- 28. 60/080327 filed April1, 1998
- 29. 60/080328 filed April 1, 1998
- 60/080333 filed April 1, 1998 30.
- 60/080334 filed April 1, 1998 31. 60/081070 filed April 8, 1998 32.
- 60/081049 filed April 8, 1998 33.
- 60/081071 filed April 8, 1998 34.
- 60/081195 filed April 9, 1998 35.
- 60/081203 filed April 9, 1998 36.
- 60/081229 filed April 9, 1998 37.
- 60/081955 filed April 15, 1998 38.
- 60/081817 filed April 15, 1998 39.
- 60/081819 filed April 15, 1998 40. 60/081952 filed April 15, 1998
- 41.
- 42. 60/081838 filed April 15, 1998 60/082568 filed April 21, 1998 43.
- 44. 60/082569 filed April 21, 1998
- 60/082704 filed April 22, 1998 45.

60/082804 filed April 22, 1998 46. 47. 60/082700 filed April 22, 1998 60/082797 filed April 22, 1998 48. 60/082796 filed April 23, 1998 49. 50. 60/083336 filed April 27, 1998 60/083322 filed April 28, 1998 51. 52. 60/083392 filed April 29, 1998 53. 60/083495 filed April 29, 1998 60/083496 filed April 29, 1998 54. 60/083499 filed April 29, 1998 55. 60/083545 filed April 29, 1998 56. 57. 60/083554 filed April 29, 1998 60/083558 filed April 29, 1998 58. 59. 60. 60/083559 filed April 29, 1998 60/083500 filed April 29, 1998 61 60/083742 filed April 30, 1998 62. 60/084366 filed May 5, 1998 63. 60/084414 filed May 6, 1998 60/084441 filed May 6, 1998 64\_ 65. 60/084637 filed May 7, 1998 66. 60/084639 filed May 7, 1998 60/084640 filed May 7, 1998 67. 68. 60/084598 filed May 7, 1998 69. 70. 60/084600 filed May 7, 1998 60/084627 filed May 7, 1998 60/084643 filed May 7, 1998 71: 60/085339 filed May 13, 1998 72 73. 60/085338 filed May 13, 1998 60/085323 filed May 13, 1998 74. 60/085582 filed May 15, 1998 75. 76. 60/085700 filed May 15, 1998 77. 60/085689 filed May 15, 1998 60/085579 filed May 15, 1998 78. 60/085580 filed May 15, 1998 79. 60/085573 filed May 15, 1998 80. 81. 60/085704 filed May 15, 1998 60/085697 filed May 15, 1998 82. 60/086023 filed May 18, 1998 83. 84. 60/086430 filed May 22, 1998 60/086392 filed May 22, 1998 85. 60/086486 filed May 22, 1998 86. 60/086414 filed May 22, 1998 87. 88. 60/087208 filed May 28, 1998 89. 60/087106 filed May 28, 1998 90. 60/087098 filed May 28, 1998 60/091010 filed June 26, 1998 91.

60/090863 filed June 26, 1998

60/091359 filed July 1, 1998

60/094651 filed July 30, 1998

92.

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94.

- 95. 60/100038 filed September 11, 1998
- 96. 60/109304 filed November 20, 1998
- 97. 60/113296 filed December 22, 1998
- 98. 60/113621 filed December 23, 1998
- 99. 60/123957 filed March 12, 1999
- 100. 60/126773 filed March 29, 1999
- 101. 60/130232 filed April 21, 1999
- 102. 60/131022 filed April 26, 1999
- 103. 60/131445 filed April 28, 1999
- 104. 60/134287 filed May 14, 1999
- 105. 60/139557 filed June 16, 1999
- 106. 60/141037 filed June 23, 1999
- 107. 60/142680 filed July 7, 1999
- 108. 60/145698 filed July 26, 1999
- 109. 60/146222 filed July 28, 1999
- 110. 60/162506 filed October 29, 1999

# APPENDIX B

I hereby claim the benefit under Title 35, United States Code, §120 of any United States and PCT applications listed below:

#### **US APPLICATIONS**

- 09/040220 filed March 17, 1998 1.
- 09/105413 filed June 26, 1998 2.
- 09/168978 filed October 7, 1998 3.
- 09/184216 filed November 2, 1998 4.
- 09/187368 filed November 6, 1998 5.
- 09/202054 filed December 7, 1998 6.
- 09/218517 filed December 22, 1998 7.
- 09/254465 filed March 5, 1999 8. 📖
- 09/265686 filed March 10, 1999 9.
- 09/267213 filed March 12, 1999 10
- 09/284291 filed April 12, 1999 11
- 09/311832 filed May 14, 1999 12.
- 09/380137 filed August 25, 1999
- 13. 14. 09/380138 filed August 25, 1999
- 15. 09/380142 filed August 25, 1999
- 16. 09/709238 filed November 8, 2000
- 17. 09/723749 filed November 27, 2000
- 09/747259 filed December 20, 2000 18.
- 09/816744 filed March 22, 2001 19
- 20. 09/816920 filed March 22, 2001
- 21. 09/854280 filed May 10, 2001
- 09/854208 filed May 10, 2001 22.
- 09/872035 filed June 1, 2001 23.
- 09/874503 filed June 5, 2001 24.
- 09/882636 filed June 14, 2001 25.
- 09/886342 filed June 19, 2001 26.

#### PCT APPLICATIONS

- PCT/US98/21141 filed October 7, 1998 1.
- PCT/US98/24855 filed November 20, 1998 2.
- PCT/US99/00106 filed January 5, 1999 3.
- PCT/US99/05028 filed March 8, 1999 4.
- PCT/US99/05190 filed March 10, 1999 5.
- PCT/US99/10733 filed May 14, 1999 6.
- PCT/US99/12252 filed June 2, 1999 7.
- PCT/US99/28313 filed November 30, 1999 8.
- PCT/US99/28551 filed December 2, 1999 9.
- PCT/US99/28565 filed December 2, 1999 10.
- PCT/US99/30095 filed December 16, 1999 11.
- PCT/US99/31243 filed December 30, 1999 12.

- PCT/US99/31274 filed December 30, 1999 13.
- 14. PCT/US00/00219 filed January 5, 2000
- PCT/US00/00277 filed January 6, 2000 15.
- PCT/US00/00376 filed January 6, 2000 16.
- PCT/US00/03565 filed February 11, 2000 17.
- PCT/US00/04341 filed February 18, 2000 18.
- PCT/US00/05841 filed March 2, 2000 19.
- PCT/US00/07532 filed March 21, 2000 20.
- PCT/US00/05004 filed February 24, 2000 21.
- PCT/US00/06319 filed March 10, 2000 22.
- PCT/US00/08439 filed March 30, 2000 23.
- PCT/US00/13705 filed May 17, 2000 24.
- PCT/US00/14042 filed May 22, 2000 25.
- PCT/US00/14941 filed May 30, 2000 26.
- PCT/US00/15264 filed June 2, 2000 27
- PCT/US00/20710 filed July 28, 2000 28.
- PCT/US00/23328 filed August 24, 2000 29.
- 30. 31. PCT/US00/32678 filed December 1, 2000
- PCT/US00/34956 filed December 20, 2000
- 32 PCT/US01/06520 filed February 28, 2001
- PCT/US01/09552 filed March 22, 2001 33.
- PCT/US01/17092 filed May 25, 2001 34.
- PCT/US01/17800 filed June 1, 2001 35
- PCT/US01/19692 filed June 20, 2001 36.
- PCT/US01/21066 filed June 29, 2001 37.
- 38: PCT/US01/21735 filed July 9, 2001

# COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

# SECRETED AND TRANSMEMBRANE POLYPEPTIDES AND NUCLEIC ACIDS ENCODING THE SAME

the specification of which (check one) is attached hereto or  $\underline{X}$  was filed on  $\underline{\text{July 30, 2001}}$  as Application Serial No.  $\underline{\text{09/918,585}}$  and was amended on  $\underline{\ }$  (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56.

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I hereby claim foreign priority benefits under Title 35, United States Code, §119(a)-(d) of any foreign ap	plication(s) for paterit of
inventor's certificate listed below and have also identified below any foreign application for patent or inve	entor scertificate have a
filing date before that of the application on which priority is claimed:	
	Drivity Claimed
Prior Foreign Application(s):	Priority Claimed

Prior Foreign Ap	oplication(s):		Yes	No
<b>\$</b>				<del></del>
Number	Country	Day/Month/Year Filed		
I hereby claim the	e benefit under Title 35, Ur	nited States Code, §119(e) of any United States pro	visional applications(s)	isted below:

Please see attached Appendix A (Listing 110 U.S. Provisional Applications)

Application Ser. No. Filing Date

I hereby claim the benefit under Title 35, United States Code, §120 of any United States applications(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

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Application Ser. No.	Filing Date	Status: Patented, Pending, Abandoned	
Application Ser. No.	Filing Date	Status: Patented, Pending, Abandoned	

**POWER OF ATTORNEY**: As a named inventor, I hereby appoint all Attorney(s) and/or Agent(s) associated with the following Patent Office Issued Customer Number to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

09157

PATENT TRADEMARK OFFICE

Send correspondence to Genentech, Inc.

Attn: Elizabeth M. Barnes, Ph.D. Telephone: (650) 225-4563

I hereby declare that all statements made herein of my own knowledge and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the United States Code and that willful false statements may jeopardize the validity of the application or any patent issued thereon.

The undersigned hereby authorizes the U.S. attorney or agent named herein to accept and follow instructions from his foreign patent agent as to any action to be taken in the Patent and Trademark Office regarding this application without direct communication between the U.S. attorney or agent and the undersigned. In the event of a change in the persons from whom instructions may be taken, the U.S. attorney or agent named herein will be so notified by the undersigned.

Service.	
Full-name of sole or first inventor	
Avi, Ashkenazi	
AVINI, ASIRCIAZI	
Inventor's signature	Date
Residence	
1458 Tarrytown Street, San Mateo, CA 94402	
Citizenship	
US TO THE REPORT OF THE PARTY O	
Post Office Address	
1 DÑA Way South San Francisco, CA 94080	
Full name of second joint inventor, if any	
Kevin P. Baker	
Inventor's signature	Date
Residence 14006 Indian Run Drive, Damestown, MD 20878	
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Full name of third joint inventor, if any	
David Botstein	
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Full name of twelfth joint inventor, if any **Audrey Goddard** Date Inventor's signature Residence 110 Congo Street, San Francisco, CA 94131 Citizenship CA Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of thirteenth joint inventor Paul J. Godowski Date Inventor's signature Residence 2627 Easton Drive, Burlingame, CA 94010 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of fourteenth joint inventor, if any J. Christopher Grimaldi Date Inventor's signature Residence 1434 36th Avenue, San Francisco, CA 94122 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of fifteenth joint inventor, if any Austin L. Gumey Date Inventor's signature Residence 1 Debbie Lane, Belmont, CA 94002 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080

## Full name of sixteenth joint inventor Kenneth J. Hillan Date Inventor's signature Residence 64 Seward Street, San Francisco, CA 94114 Citizenship GB Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of seventeenth joint inventor, if any Ivar J. Kljavin Date Inventor's signature Residence 3673 Crescent Drive, Lafayette, CA 94549 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080 Full-name of eighteenth joint inventor, if any Sophia S. Kuo Date Inventor's signature Residence 59 Surrey Street, Apt. 3, San Francisco, CA 94131 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of nineteenth joint inventor Mary A. Napier Date Inventor's signature Residence 1015 Hayne Road, Hillsborough, CA 94010 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080

Inventor's signature	Date
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Full name of twenty-first joint inventor, if any	
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<del></del>	
Full name of twenty-second joint inventor	
Margaret Ann Roy	
Inventor's signature	Date
Inventor's signature	
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Citizenship	
US	
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Full name of twenty-third joint inventor, if any	
David L. Shelton	
Inventor's signature	Date
Decidence	
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Citizenship	
US	
Post Office Address	
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South San Handisco, On Stood	

Full name of twenty-fourth joint inventor, if any	
Timothy A. Stewart	
Inventor's signature	Date
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Residence 465 Douglass Street, San Francisco, CA 94114	
Citizenship US	
Post Office Address 1 DNA Way	
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Full name of twenty-fifth joint inventor	
Daniel Tumas	
Inventor's signature	Date
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3 Flae Avenue, Orinda, CA 94563	
Citizenship	
Post Office Address	
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Full name of twenty-sixth joint inventor, if any	
Contracting the second	
P. Mickey Williams	Date
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Post Office Address	
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Full name of twenty-seventh joint inventor, if any	
William I. Wood	
Inventor's signature	Date
Residence 35 Southdown Court, Hillsborough, CA 94010	
Citizenship US	
Post Office Address 1 DNA Way	
South San Francisco, CA 94080	

# COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

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I believe I am the original first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

#### SECRETED AND TRANSMEMBRANE POLYPEPTIDES AND NUCLEIC ACIDS ENCODING THE SAME

the specification of which (check one) is attached hereto or <u>X</u> was filed on <u>July 30, 2001</u> as Application Serial No. <u>09/918,585</u> and was amended on \_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

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Priority Claimed Yes No

Day/Month/Year Filed

Thereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional applications(s) listed below:

Please see attached Appendix A (Listing 110 U.S. Provisional Applications)

Application Ser. No. Filing Date

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Application Ser. No.	Filing Date	Status: Patented, Pending, Abandoned		

**POWER OF ATTORNEY**: As a named inventor, I hereby appoint all Attorney(s) and/or Agent(s) associated with the following Patent Office Issued Customer Number to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

09157

PATENT TRADEMARK OFFICE

Send correspondence to Genentech, Inc.

Attn: Elizabeth M. Barnes, Ph.D. Telephone: (650) 225-4563

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Inveritor's signature	Date
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Full-name of sixth joint inventor, if any	
Full-name of sixth joint inventor, if any Napoleone Ferrara	
Napoleone Ferrara  Inventor's signature	Date
Napoleone Ferrara  Inventor's signature	Date
Napoleone Ferrara  Inventor's signature  Residence	Date
Napoleone Ferrara  Inventor's signature  Residence 2090 Pacific Avenue #704, San Francisco, CA 94109  Citizenship	Date
Napoleone Ferrara  Inventor's signature  Presidence 2090 Pacific Avenue #704, San Francisco, CA 94109  Citizenship US  Post Office Address 1 DNA Way	Date
Inventor's signature  Residence 2090 Pacific Avenue #704, San Francisco, CA 94109  Citizenship US  Post Office Address 1 DNA Way South San Francisco, CA 94080  Full name of seventh joint inventor  Ellen Filvaroff	Date
Inventor's signature  Residence 2090 Pacific Avenue #704, San Francisco, CA 94109  Citizenship US  Post Office Address 1 DNA Way South San Francisco, CA 94080  Full name of seventh joint inventor	Date
Inventor's signature  Residence 2090 Pacific Avenue #704, San Francisco, CA 94109  Citizenship US  Post Office Address 1 DNA Way South San Francisco, CA 94080  Full name of seventh joint inventor  Ellen Filvaroff	
Napoleone Ferrara  Inventor's signature  2090 Pacific Avenue #704, San Francisco, CA 94109  Citizenship US  Post Office Address 1 DNA Way South San Francisco, CA 94080  Full name of seventh joint inventor  Ellen Filvaroft Inventor's signature  Residence	

Full name of eight joint inventor, if any Sherman Fong Date Inventor's signature Residence 19 Basinside Way, Alameda, CA 94502 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of ninth joint inventor, if any Wei-Qiang Gao Date Inventor's signature Residence 3025 South Court, Palo Alto, CA 94306 Citizenship CN" Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of tenth joint inventor Hanspeter Gerber Date Inventor's signature Residence 1121 Tennessee Street #5, San Francisco, CA 94107 Citizenship CH Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of eleventh joint inventor, if any Mary E. Gerritsen Date Inventor's signature Residence 541 Parrott Drive, San Mateo, CA 94402 Citizenship CA Post Office Address 1 DNA Way South San Francisco, CA 94080

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Inventor's signature	Date
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Full name of twentieth joint inventor, if any

Full name of twenty-fourth joint inventor, if any	
Timothy A. Stewart	
Inventor's signature	Date
Residence 465 Douglass Street, San Francisco, CA 94114	
Citizenship US	
Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of twenty-fifth joint inventor	
Daniel Tumas	
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Full name of twenty-sixth joint inventor, if any	
P. Mickey Williams	
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Full name of twenty-seventh joint inventor, if any	
William I. Wood	
Inventor's signature	Date
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Post Office Address 1 DNA Way South San Francisco, CA 94080	

Docket No. P2630P1C1

#### COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY

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amended by any amendment referred to above.

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Application Ser. No.	Filing Date	Status: Patented, Pending, Abandoned

**POWER OF ATTORNEY:** As a named inventor, I hereby appoint all Attorney(s) and/or Agent(s) associated with the following Patent Office Issued Customer Number to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

09157
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हुँ-दें। Kevin P. Baker	
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Full name of fourth joint inventor

Luc Desnoyers

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Inventor's signature	Date
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Inventor's signature	Date
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# Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of nineteenth joint inventor Mary A. Napier Date Inventor's signature Residence 1015 Hayne Road, Hillsborough, CA 94010 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080

Full name of twentieth joint inventor, if any James Pan Date Inventor's signature Residence 2705 Coronet Boulevard, Belmont, CA 94002 Citizenship CA Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of twenty-first joint inventor, if any Nicholas F. Paoni Date Inventor's signature first. Residence 1756 Terrace Drive, Belmont, CA 94002 Citizenship US 🔩 Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of twenty-second joint inventor Margaret Ann Roy Date Inventor's signature Residence 2960 Webster Street #4, San Francisco, CA 94123 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of twenty-third joint inventor, if any David L. Shelton Date Inventor's signature Residence 5845 Clover Drive, Oakland, CA 94618 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080

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Docket No. P2630P1C1

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Full-name of sole or first inventor	
AvilLAshkenazi	
Inventor's signature	Date
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Full name of second joint inventor, if any	
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Inventor's signature	Date
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### Full name of fourth joint inventor Luc Desnoyers Date Inventor's signature Residence 2050 Stockton Street, San Francisco, CA 94133 Citizenship CA Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of fifth joint inventor, if any Dan L. Eaton Inventor's signature Residence 75 Knight Drive, San Rafael, CA 94901 Citizenship US Post-Office Address 1 DNA Way South San Francisco, CA 94080 Full name of sixth joint inventor, if any Napoleone Ferrara Date Inventor's signature Residence 2090 Pacific Avenue #704, San Francisco, CA 94109 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of seventh joint inventor Ellen Filvaroff Date Inventor's signature Residence 538 18<sup>th</sup> Avenue, San Francisco, CA 94121 Citizenship

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Full name of thirteenth joint inventor	
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Full name of fifteenth joint inventor, if any	
Austin L. Gurney	•
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Full name of twelfth joint inventor, if any

### Full name of sixteenth joint inventor Kenneth J. Hillan Date Inventor's signature Residence 64 Seward Street, San Francisco, CA 94114 Citizenship GB Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of seventeenth joint inventor, if any Ivar J. Kljavin Date Inventor's signature Residence 3673 Crescent Drive, Lafayette, CA 94549 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of eighteenth joint inventor, if any Sophia S. Kuo Date Inventor's signature Residence 59 Surrey Street, Apt. 3, San Francisco, CA 94131 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of nineteenth joint inventor Mary A. Napier Date Inventor's signature Residence 1015 Hayne Road, Hillsborough, CA 94010 Citizenship US Post Office Address 1 DNA Way

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Matgaret Ann Roy	
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Full name of twenty-seventh joint inventor, if any	
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Citizenship US	
Post Office Address 1 DNA Way South San Francisco, CA 94080	

### COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY

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09157
PATENT TRADEMARK OFFICE

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necn, inc. Attn: Elizabeth M. Barnes, Ph.D.

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Full name of twenty-fourth joint inventor, if any Timothy A. Stewart Date Inventor's signature Residence 465 Douglass Street, San Francisco, CA 94114 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of twenty-fifth joint inventor **Daniel Tumas** Date Inventor's signature Residence 3 Rae Avenue, Orinda, CA 94563 Citizenship US\_\_\_\_ Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of twenty-sixth joint inventor, if any P. Mickey Williams Date Inventor's signature Residence 509 Alto Avenue, Half Moon Bay, CA 94019 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of twenty-seventh joint inventor, if any William I. Wood Date Inventor's signature Residence 35 Southdown Court, Hillsborough, CA 94010 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080

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herein will be so notified by the undersigned.	
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Full name of fourth joint inventor

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**Priority Claimed** 

Yes

No

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Prior Foreign Application(s):

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S. S.			<u>165 140</u>
Number	Country	Day/Month/	Year Filed
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and the second s	
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Full name of tenth joint inventor	
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Full name of eleventh joint inventor, if any	
Mary E. Gerritsen	
Inventor's signature	Date
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Post Office Address 1 DNA Way South San Francisco, CA 94080	

Full name of eight joint inventor, if any

Full name of twelfth joint inventor, if any	
Audrey Goddard	
Inventor's signature	Date
Residence 110 Congo Street, San Francisco, CA 94131	
Citizenship CA	
Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of thirteenth joint inventor	
Paul J. Godowski	
Inventor's signature	Date
Residence 2627 Easton Drive, Burlingame, CA 94010	
Citizenship US	
Post-Office Address 1 DNA Way South San Francisco, CA 94080	
Full pame of fourteenth joint inventor, if any	
J. Christopher Grimaldi	
Inventor's signature	Date
Residence 1434 36 <sup>th</sup> Avenue, San Francisco, CA 94122	
Citizenship US	
Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of fifteenth joint Inventor, if any	
Austin L. Gurney	
Inventor's signature	Date
Residence 1 Debbie Lane, Belmont, CA 94002	
Citizenship US	
Post Office Address 1 DNA Way South San Francisco, CA 94080	

Full name of sixteenth joint inventor		
Kenneth J. Hillan		
Inventor's signature	Date	
Residence 64 Seward Street, San Francisco, CA 94114		,
Citizenship GB		
Post Office Address 1 DNA Way South San Francisco, CA 94080		
Full name of seventeenth joint inventor, if any		
Ivar J. Kljavin Inventor's signature	Date	
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Post Office Address 1 DNA Way South San Francisco, CA 94080		
Full name of eighteenth joint inventor, if any		
Sophia S. Kuo	Date	
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Citizenship US		
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Full name of nineteenth joint inventor		
Mary A. Napier		
Inventor's signature	Date	
Residence 1015 Hayne Road, Hillsborough, CA 94010		
Citizenship US		
Post Office Address 1 DNA Way South San Francisco, CA 94080		·

ruil name of twentieth joint inventor, if any	
James Pan	
Inventor's signature	Date
Residence 2705 Coronet Boulevard, Belmont, CA 94002	
Citizenship CA	
Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of twenty-first joint inventor, if any	
Nicholas F. Paoni	
Inventor's signature	Date
Residence 1756 Terrace Drive, Belmont, CA 94002	
Citizenship	
Post-Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of twenty-second joint inventor	
Margaret Ann Roy	
Inventor's signature	Date
Residence 2960 Webster Street #4, San Francisco, CA 94123	
Citizenship US	
Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of twenty-third joint inventor, if any	
David L. Shelton	
Inventor's signature	.Date
Residence 5845 Clover Drive, Oakland, CA 94618	
Citizenship US	
Post Office Address 1 DNA Way South San Francisco, CA 94080	

Full name of twenty-fourth, joint inventor, if any	
Timothy A. Stewart	
Inventor's signature	Date
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Citizenship US	
Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of twenty-fifth joint inventor	
Daniel Tumas	
Inventor's signature	Date
Residence 3 Rae Avenue, Orinda, CA 94563	
Citizenship US	
Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full-name of twenty-sixth joint inventor, if any	
P. Mickey Williams	
Inveritor's signature	Date
Residence 509 Alto Avenue, Half Moon Bay, CA 94019	
Citizenship US	
Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of twenty-seventh joint inventor, if any	
William I. Wood	
Inventor's signature	Date
Residence 35 Southdown Court, Hillsborough, CA 94010	
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the specification of which (check one) is attached hereto or X was filed on July 30, 2001 as Application Serial No. 09/918,585 and was amended on (if applicable). I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above. Lacknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56. I hereby claim foreign priority benefits under Title 35, United States Code, §119(a)-(d) of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate have a filing date before that of the application on which priority is claimed: Prior Foreign Application(s): **Priority Claimed** No Ž. Yes Day/Month/Year Filed Number Country Thereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional applications(s) listed below: Please see attached Appendix A (Listing 110 U.S. Provisional Applications) Application Ser. No. Filing Date I hereby claim the benefit under Title 35, United States Code, §120 of any United States applications(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application: Please see attached Appendix B (Listing 26 U.S. and 38 PCT Patent Applications) Application Ser. No. Filing Date Status: Patented, Pending, Abandoned

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09157
PATENT TRADEMARK OFFICE

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itecn, Inc. Attn: Elizabeth M. Barnes, Ph.D.

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gnuit.	
Full name of sole or first inventor	
Avi J. Ashkenazi	
Inventor's signature	Date
	Date
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1456 Tarrytown Street, San Mateo, CA 94402	
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Post Office Address	
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Full name of second joint inventor, if any	
Kevin P. Baker	
Inventor's signature	Date
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14000 Indian Fitte, Ballioslowii, WB 20070	
Citizenship	
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South San Figuresco, CA 94000	
Full name of third joint inventor, if any	
•	
David Botstein	
Inventoria cignotura	Date
Inventor's signature	Dale
Residence	
2539 Somerset Drive, Belmont, CA 94002	
Out.	
Citizenship US	
00	
Post Office Address	<del></del>
1 DNA Way	
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Full name of fourth joint inventor Luc Desnoyers Inventor's signature Date Residence 2050 Stockton Street, San Francisco, CA 94133 Citizenship CA Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of fifth joint inventor, if any Dan L. Eaton Invéntor's signature Date Residence 75 Knight Drive, San Rafael, CA 94901 Citizenship US Post Office Address
1 DNA Way South San Francisco, CA 94080 Full name of sixth joint inventor, if any Napoleone Ferrara Inventor's signature Date Résidence 2090 Pacific Avenue #704, San Francisco, CA 94109 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of seventh joint inventor Ellen Filvaroff Inventor's signature Date Residence 538 18th Avenue, San Francisco, CA 94121 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080

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Residence	
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09157

PATENT TRADEMARK OFFICE

Send correspondence to Genentech, Inc.

Attn: Elizabeth M. Barnes, Ph.D. Telephone: (650) 225-4563

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herein will be so notified by the undersigned.	
Full name of sole or first inventor	
Avi Janashkenazi	
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August 1	
Napoleone Ferrara	
Inventor's signature	Date
Inventor's signature	Date
Inventor's signature	Date
Inventor's signature Residence	Date
Inventor's signature  Residence 2090 Pacific Avenue #704, San Francisco, CA 94109  Citizenship US  Post Office Address	Date
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Inventor's signature  Residence 2090 Pacific Avenue #704, San Francisco, CA 94109  Citizenship US  Post Office Address 1 DNA Way	Date
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Inventor's signature  Residence 2090 Pacific Avenue #704, San Francisco, CA 94109  Citizenship US  Post Office Address 1 DNA Way South San Francisco, CA 94080  Full name of seventh joint inventor	Date
Inventor's signature  Residence 2090 Pacific Avenue #704, San Francisco, CA 94109  Citizenship US  Post Office Address 1 DNA Way South San Francisco, CA 94080  Full name of seventh joint inventor  Ellen Filvaroff	
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Inventor's signature	Date
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Docket No. P2630P1C1

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Number Country	Day/Month/Y	ear Filed	
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Full name of fifth joint inventor, if any	
Dan L. Eaton	
Inventor's signature	Date
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Full name of eight joint inventor, if any	
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Full name of eleventh joint inventor, if any	
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Full name of twelfth joint inventor, if any	
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Full name of thirteenth joint inventor	
Paul J. Godowski	
Inventor's signature	Date
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Citizenship	
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Full name of fourteenth joint inventor, if any	
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Full name of fifteenth joint inventor, if any	
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Full name of sixteenth joint inventor	
Kenneth J. Hillan	
Inventor's signature	Date
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Citizenship GB	
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Full name of seventeenth joint inventor, if any	
Ivar J. Kljavin	
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Full name of eighteenth joint inventor, if any	
Sopriia S. Kuo	
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Full name of nineteenth joint inventor	
Mary A. Napier	
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Citizenship US	
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Full name of twentieth joint inventor, if any	
James Pan	
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Full name of twenty-first joint inventor, if any	
Nicholas F. Paoni	
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Full name of twenty-second joint inventor	
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Full name of twenty-third joint inventor, if any	
David L. Shelton	
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Residence 5845 Clover Drive, Oakland, CA 94618	
Citizenship US	
Post Office Address 1 DNA Way South San Francisco, CA 94080	

Page 8 Full name of twenty-fourth joint inventor, if any Timothy A. Stewart Date Inventor's signature Residence 465 Douglass Street, San Francisco, CA 94114 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of twenty-fifth joint inventor **Daniel Tumas** Date Inventor's signature Residence 3 Rae Avenue, Orinda, CA 94563 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of twenty-sixth joint inventor, if any P. Mickey Williams Date Inventor's signature Residence 509 Alto Avenue, Half Moon Bay, CA 94019 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of twenty-seventh joint inventor, if any William I. Wood Date Inventor's signature

Residence

Citizenship US

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35 Southdown Court, Hillsborough, CA 94010

# **COMBINED DECLARATION FOR PATENT APPLICATION** AND POWER OF ATTORNEY

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the specification of which (check one) is attached hereto or X was filed on July 30, 2001 as Application Serial No. 09/918,585

SECRETED AND TRANSMEMBRANE POLYPEPTIDES AND NUCLEIC ACIDS ENCODING THE SAME and was amended on (if applicable). I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56. Thereby claim foreign priority benefits under Title 35. United States Code. §119(a)-(d) of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate have a filing date before that of the application on which priority is claimed: Prior Foreign Application(s): **Priority Claimed** Yes No Number Country Day/Month/Year Filed Thereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional applications(s) listed below: Please see attached Appendix A (Listing 110 U.S. Provisional Applications) Application Ser. No. Filing Date Thereby claim the benefit under Title 35, United States Code, §120 of any United States applications(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application: Please see attached Appendix B (Listing 26 U.S. and 38 PCT Patent Applications) Status: Patented, Pending, Abandoned Application Ser. No. Filing Date

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09157
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Attn: Elizabeth M. Barnes, Ph.D. Telephone: (650) 225-4563

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Full name of sole or first inventor	
Avi 🚉 Ashkenazi	
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Full name of third joint inventor, if any	
Turnane of this joint inventor, if any	
David Botstein	
Inventor's signature	Date
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Page 5 Full name of twelfth joint inventor, if any Audrey Goddard Date Inventor's signature addaed 28 Aug 2001 Residence 110 Congo Street, San Francisco, CA 94131 Citizenship CA Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of thirteenth joint inventor Paul J. Godowski Inventor's signature Date Residence 2627 Easton Drive, Burlingame, CA 94010 Citizenship บร Post Office Address 1 DÑA Way South San Francisco, CA 94080 Full name of fourteenth joint inventor, if any J. Christopher Grimaldi Date Inventor's signature Residence 1434 36th Avenue, San Francisco, CA 94122 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of fifteenth joint inventor, if any Austin L. Gurney Inventor's signature Date

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Full name of twenty-second joint inventor	
Margaret Ann Roy	
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David L. Shelton	
Inventor's signature	Date
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Full name of fourth joint inventor	
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Inventor's signature	Date
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Mary E. Gerritsen	
Inventor's signature	Date
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Full name of twelfth joint inventor, if any	
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Paul J. Godowski	
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Post-Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of fourteenth joint inventor, if any  J. Christopher Grimaldi	
Inventor's signature	Date
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Full name of fifteenth joint inventor, if any	
Austin L. Gumey	
Inventor's signature	Date
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Citizenship US	
Post Office Address 1 DNA Way South San Francisco, CA 94080	

Full name of sixteenth joint inventor	
Kenneth J. Hillan	
Inventor's signature	Date
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Residence 64 Seward Street, San Francisco, CA 94114	
Citizenship GB	
Post Office Address	
1 DNA Way South San Francisco, CA 94080	
Full name of seventeenth joint inventor, if any	
Ivar J. Kljavin	
Inventor's signature	Date
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Full name of eighteenth joint inventor, if any	
Sophia S. Kuo	
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Full name of nineteenth joint inventor	
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Full name of twentieth joint inventor, if any	
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Full name of twenty-first joint inventor, if any	
Nicholas F. Paoni	
Inventor's signature	Date
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Full name of twenty-second joint inventor	
Margaret Ann Roy	
Inventor's signature	Date
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Full name of twenty-third joint inventor, if any	
David L. Shelton	
Inventor's signature	Date
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Full name of twenty-fourth joint inventor, if any	
Timothy A. Stewart	
Inventor's signature	Date
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Citizenship US	
Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of twenty-fifth joint inventor	
Daniel Tumas	
Inventor's signature	Date
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Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of twenty-sixth joint inventor, if any P. Mickey Williams	
Inventor's signature	Date
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Citizenship US	
Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of twenty-seventh joint inventor, if any	
William I. Wood	
Inventor's signature	Date
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09157

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# Full name of fourth joint inventor Luc Desnoyers Date Inventor's signature Residence 2050 Stockton Street, San Francisco, CA 94133 Citizenship CA Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of fifth joint inventor, if any Dan L. Eaton Date Inventor's signature Residence 75 Knight Drive, San Rafael, CA 94901 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of sixth joint inventor, if any Napoleone Ferrara Date Inventor's signature Residence 2090 Pacific Avenue #704, San Francisco, CA 94109 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of seventh joint inventor Ellen Filvaroff Date Inventor's signature Residence 538 18th Avenue, San Francisco, CA 94121

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South San Francisco, CA 94080  Full riame of eighteenth joint inventor, if any	
	7 SEPT (01
Full name of eighteenth joint inventor, if any Sophia S. Kuo	7 SEPT OI Date
Full-name of eighteenth joint inventor, if any Sophia S. Kuo Inventor's signature	
Full name of eighteenth joint inventor, if any Sophia S. Kuo Inventor's signature Andre J. K. Residence	
Full name of eighteenth joint inventor, if any Sophia S. Kuo Inventor's signature  Residence 59 Surrey Street, Apt. 3, San Francisco, CA 94131  Citizenship US  Post Office Address 1 DNA Way South San Francisco, CA 94080	
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Lacknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37.

	I Regulations, Section 1.	56.	
inventor's certific	cate listed below and have	der Title 35, United States Code, §119(a)-(d) of any e also identified below any foreign application for pa on which priority is claimed:	
Prior Foreign Ap	oplication(s):		<u>Priority Claimed</u> <u>Yes</u> <u>No</u>
Number	Country	Day/Month/Year Filed	
trice;		nited States Code, §119(e) of any United States pro	
2 4		A (Listing 110 U.S. Provisional Application Reports of the Police of the	lions)
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Full name of fourth joint inventor

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Avi Ashkenazi	
Survey Control of the	Date
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Citizenship US	
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Full name of ninth joint inventor, if any	
Wei-Qiang Gao	
Inventor's signature	Date
Residence 3025 South Court, Palo Alto, CA 94306	
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Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of tenth joint inventor	
Hanspeter Gerber	
	Date
Residence 1121 Tennessee Street #5, San Francisco, CA 94107	
Citizenship CH	
Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of eleventh joint inventor, if any	
Mary E. Gerritsen	
Inventor's signature	Date
Residence 541 Parrott Drive, San Mateo, CA 94402	
Citizenship CA	
Post Office Address 1 DNA Way South San Francisco, CA 94080	

Full name of twelfth joint inventor, if any	
Audrey Goddard	
Inventor's signature	Date
Residence 110 Congo Street, San Francisco, CA 94131	
Citizenship CA	
Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of thirteenth joint inventor	
Paul J. Godowski	
Inventor's signature	Date
Residence 2627 Easton Drive, Burlingame, CA 94010	
Citizenship US	
Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of fourteenth joint inventor, if any	
J. Christopher Grimaldi	
Inventor's signature	Date
Residence 1434 36 <sup>th</sup> Avenue, San Francisco, CA 94122	
Citizenship US	
Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of fifteenth joint inventor, if any	
Austin L. Gurney	
Inventor's signature	Date
Residence 1 Debbie Lane, Belmont, CA 94002	
Citizenship US	
Post Office Address 1 DNA Way South San Francisco, CA 94080	

ruii name oi sixteeniti joint inventoi		
Kenneth J. Hillan		
Inventor's signature	Date	
Residence 64 Seward Street, San Francisco, CA 94114		,
Citizenship GB		
Post Office Address 1 DNA Way South San Francisco, CA 94080		
Full name of seventeenth joint inventor, if any		
Ivar J. Kljavin		
Inventor's signature	Date	
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Full name of eighteenth joint inventor, if any		
Soppia S. Kuo		
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Post Office Address 1 DNA Way South San Francisco, CA 94080		
Full name of nineteenth joint inventor		:
Mary A. Napier		
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Full name of twentieth joint inventor, if any	
James Pan	
Inventor's signature	Date Ay 23 /2001
Residence 2705 Coronet Boulevard, Belmont, CA 94002	
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Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of twenty-first joint inventor, if any	
Nicholas F. Paoni	
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Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of twenty-second joint inventor	
Margaret Ann Roy	
Inventor's signature	Date
Residence 2960 Webster Street #4, San Francisco, CA 94123	
Citizenship US	
Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of twenty-third joint inventor, if any	
David L. Shelton	
Inventor's signature	Date
Residence 5845 Clover Drive, Oakland, CA 94618	
Citizenship US	
Post Office Address 1 DNA Way South San Francisco, CA 94080	

Full name of twenty-tourth joint inventor, if any	
Timothy A. Stewart	
Inventor's signature	Date
Residence 465 Douglass Street, San Francisco, CA 94114	
Citizenship US	
Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of twenty-fifth joint inventor	
Daniel Tumas	
Inventor's signature	Date
Résidence 3 Rae Avenue, Orinda, CA 94563	
Cifizenship US.	
Post Office Address 1 BNA Way South San Francisco, CA 94080	
Full name of twenty-sixth joint inventor, if any	
P Mickey Williams	
Inventor's signature	Date
Residence 509 Alto Avenue, Half Moon Bay, CA 94019	
Citizenship US	
Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of twenty-seventh joint inventor, if any	
William I. Wood	
Inventor's signature	Date
Residence 35 Southdown Court, Hillsborough, CA 94010	
Citizenship US	
Post Office Address 1 DNA Way South San Francisco, CA 94080	

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# SECRETED AND TRANSMEMBRANE POLYPEPTIDES AND NUCLEIC ACIDS ENCODING THE SAME

the specification of which (check one) is attached hereto or  $\underline{X}$  was filed on  $\underline{\text{July 30, 2001}}$  as Application Serial No.  $\underline{\text{09/918,585}}$ and was amended on \_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

Code of Federal Regulations, Section 1.56.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, I hereby claim foreign priority benefits under Title 35, United States Code, §119(a)-(d) of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate have a filing date before that of the application on which priority is claimed: Priority Claimed Prior Foreign Application(s): <u>Yes</u> No Day/Month/Year Filed Number Country Thereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional applications(s) listed below: Please see attached Appendix A (Listing 110 U.S. Provisional Applications) Application Ser. No. Filing Date I hereby claim the benefit under Title 35, United States Code, §120 of any United States applications(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application: Please see attached Appendix B (Listing 26 U.S. and 38 PCT Patent Applications) Status: Patented, Pending, Abandoned Application Ser. No. Filing Date Status: Patented, Pending, Abandoned Filing Date Application Ser. No.

09157

PATENT TRADEMARK OFFICE

Send correspondence to Genentech, Inc.

Attn: Elizabeth M. Barnes, Ph.D. Telephone: (650) 225-4563

I hereby declare that all statements made herein of my own knowledge and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the United States Code and that willful false statements may jeopardize the validity of the application or any patent issued thereon.

The undersigned hereby authorizes the U.S. attorney or agent named herein to accept and follow instructions from his foreign patent agent as to any action to be taken in the Patent and Trademark Office regarding this application without direct communication between the U.S. attorney or agent and the undersigned. In the event of a change in the persons from whom instructions may be taken, the U.S. attorney or agent named herein will be so notified by the undersigned.

Full-name of sole or first inventor	
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Post Office Address	
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Full-name of second joint inventor, if any	
iname of second joint inventor, if any	
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Inventor's signature	Date
Residence 14006 Indian Run Drive, Damestown, MD 20878	
Citizenship	
GB	
Post Office Address	
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Full name of third joint inventor, if any	
David Botstein	
Inventor's signature	Date
Residence	
2539 Somerset Drive, Belmont, CA 94002	
Citizenship	
US	
Post Office Address	
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Guar Gar 1 Idrigiog, 571 07000	

## Full name of fourth joint inventor Luc Desnoyers Date Inventor's signature Residence 2050 Stockton Street, San Francisco, CA 94133 Citizenship Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of fifth joint inventor, if any Dan L. Eaton Date Inventor's signature Residence 75 Knight Drive, San Rafael, CA 94901 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of sixth joint inventor, if any Napoleone Ferrara Date Inventor's signature Residence 2090 Pacific Avenue #704, San Francisco, CA 94109 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of seventh joint inventor Ellen Filvaroff Date Inventor's signature

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Inventor's signature	Dafe
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Full name of ninth joint inventor, if any	
Wei-Qiang Gao	
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Full name of tenth joint inventor	
Hanspeter Gerber	
Inventor's signature	Date
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Citizenship CH	
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Full name of eleventh joint inventor, if any	
Mary E. Gerritsen	
Inventor's signature	Date
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Citizenship CA	
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Full name of twelfth joint inventor, it any	
Audrey Goddard	
Inventor's signature	Date
Residence 110 Congo Street, San Francisco, CA 94131	
Citizenship CA	
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Full name of thirteenth joint inventor	
Paul J. Godowski	
Inventor's signature	Date
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Citizenship	
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J. Christopher Grimaldi	
Inventor's signature	Date
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Citizenship US .	
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Austin L. Gurney	
Inventor's signature	Date
Residence 1 Debbie Lane, Belmont, CA 94002	
Citizenship US	
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1 DNA Way

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Full name of twentieth joint inventor, if any James Pan Date Inventor's signature Residence 2705 Coronet Boulevard, Belmont, CA 94002 Citizenship CA Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of twenty-first joint inventor, if any Nicholas F. Paoni Date Inventor's signature 9/19/01 Residence 1756 Terrace Drive, Belmont, CA 94002 Citizenship บรั Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of twenty-second joint inventor Margaret Ann Roy Date Inventor's signature Residence 2960 Webster Street #4, San Francisco, CA 94123 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of twenty-third joint inventor, if any David L. Shelton Date Inventor's signature Residence 5845 Clover Drive, Oakland, CA 94618 Citizenship US Post Office Address 1 DNA Way

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Full name of twenty-fourth joint inventor, if any	
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Citizenship US	
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Full name of twenty-fifth joint inventor	
Daniel Tumas	
Inventor's signature	Date
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Citizenship US**	
Transition .	
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Full name of twenty-sixth joint inventor, if any	
P. Mickey Williams	Date
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Full name of twenty-seventh joint inventor, if any	
William I. Wood	
Inventor's signature	Date
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Citizenship US	
Post Office Address 1 DNA Way South San Francisco, CA 94080	

# COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

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I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

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I hereby claim foreign priority benefits under Title 35, United States Code, §119(a)-(d) of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate have a filling date before that of the application on which priority is claimed:

Prior Foreign Application(s):

Priority Claimed Yes No

Number

Country

Day/Month/Year Filed

Thereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional applications(s) listed below:

Please see attached Appendix A (Listing 110 U.S. Provisional Applications)

Application Ser. No.

Filing Date

I hereby claim the benefit under Title 35, United States Code, §120 of any United States applications(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

Please see attached Appendix B (Listing 26 U.S. and 38 PCT Patent Applications)			
Application Ser. No.	Filing Date	Status: Patented, Pending, Abandoned	
Application Ser. No.	Filing Date	Status: Patented, Pending, Abandoned	

09157

PATENT TRADEMARK OFFICE

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ķ.

Attn: Elizabeth M. Barnes, Ph.D. Telephone: (650) 225-4563

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Full name of sole or first inventor	
grant,	
Avi J. Ashkenazi	
Inventor's signature	Date
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Résidence	
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Inventor's signature	Date
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Citizenship	
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Post Office Address	
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Full name of third joint inventor, if any	
•	
David Botstein	
Inventor's signature	Date
inventor's signature	Date
Residence	
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US	
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Post Office Address	
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Full name of fourth joint inventor	
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Inventor's signature	Date
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Full name of ninth joint inventor, if any	
Wei-Qiang Gao	
Inventor's signature	Date
Residence 3025 South Court, Palo Alto, CA 94306	
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Post Office Address  1 DNA Way South San Francisco, CA 94080	
Full name of tenth joint inventor	
Hanspeter Gerber	
Inventor's signature	Date
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Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of eleventh joint inventor, if any	
Mary E. Gerritsen	
Inventor's signature	Date
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Full name of twelfth joint inventor, if any	
Audrey Goddard	
Inventor's signature	Date
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Full name of thirteenth joint inventor	
Paul J. Godowski	
Inventor's signature	Date
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Full name of fourteenth joint inventor, if any	
J. Christopher Grimaldi	
Inventor's signature	Date
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Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of fifteenth joint inventor, if any	
Austin L. Gurney	
Inventor's signature	Date
Residence 1 Debbie Lane, Belmont, CA 94002	
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### Full name of sixteenth joint inventor Kenneth J. Hillan Date Inventor's signature Residence 64 Seward Street, San Francisco, CA 94114 Citizenship GB Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of seventeenth joint inventor, if any Ivar J. Kljavin Date Inventor's signature ipani i Residence 3673 Crescent Drive, Lafayette, CA 94549 Citizenship UŞ Post Office Address 1 DÑA Way South San Francisco, CA 94080 Full name of eighteenth joint inventor, if any Sophia S. Kuo Date Inventor's signature Residence 59 Surrey Street, Apt. 3, San Francisco, CA 94131 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of nineteenth joint inventor Mary A. Napier Date Inventor's signature Residence 1015 Hayne Road, Hillsborough, CA 94010 Citizenship US

Post Office Address
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Full name of twentieth joint inventor, if any	
James Pan	
Inventor's signature	Date
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2705 Coronet Boulevard, Belmont, CA 94002	
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South San Francisco, CA 94080	
Full name of twenty-first joint inventor, if any	
Nicholas F. Paoni	
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Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of twenty-second joint inventor	
Margaret Ann Roy	
Inventor's signature	8/25/0/
Residence	<u> </u>
2960 Webster Street #4, San Francisco, CA 94123	/
Citizenship US	
Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of twenty-third joint inventor, if any	
David L. Shelton	
Inventor's signature	Date
Residence 5845 Clover Drive, Oakland, CA 94618	
Citizenship US	
Post Office Address 1 DNA Way South San Francisco, CA 94080	

Full name of twenty-fourth joint inventor, if any	
Timothy A. Stewart	
Inventor's signature	Date
Residence 465 Douglass Street, San Francisco, CA 94114	
Citizenship US	
Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of twenty-fifth joint inventor	
Daniel Tumas	
Inventor's signature	Date
Residence 3 Rae Avenue, Orinda, CA 94563	
Citizenship US	
Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of twenty-sixth joint inventor, if any	
P. Mickey Williams	
Inventor's signature	Date
Residence 509 Alto Avenue, Half Moon Bay, CA 94019	
Citizenship US	
Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of twenty-seventh joint inventor, if any	
William I. Wood	
Inventor's signature	Date
Residence 35 Southdown Court, Hillsborough, CA 94010	
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l acknowledge the	duty to disclose infor	mation which is material	to the examination of this application in accordance with Title 37,
Code of Federal I	Regulations, Section	1.56.	
inventor's certifica	ate listed below and h	inder Title 35, United Sta ave also identified below n on which priority is cla	ates Code, §119(a)-(d) of any foreign application(s) for patent or any foreign application for patent or inventor's certificate have a imed:
Prior Foreign App	olication(s):		Priority Claimed Yes No
Number	Country	Day/Month/Y	
Please see at Application Ser. I	tached Appendi No.	<b>x A (Listing 110 U.</b> Filing Date	19(e) of any United States provisional applications(s) listed below:  S. Provisional Applications)
as the subject ma provided by the fir defined in Title 37	itter of each of the cla rst paragraph of Title 7, Code of Federal Ro	ms of this application is a 35. United States Code.	20 of any United States applications(s) listed below and, insofar not disclosed in the prior United States application in the manner §112, I acknowledge the duty to disclose material information as occurred between the filing date of the prior application and the
Please see at	tached Appendi	x B (Listing 26 U.S	and 38 PCT Patent Applications)
Application Ser. I		Filing Date	Status: Patented, Pending, Abandoned
Application Ser.	No.	Filing Date	Status: Patented, Pending, Abandoned

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<u> </u>	
Full name of sole or first inventor	
Avi	
and:	-
Inventor's signature	Date
Residence	
1456 Tarrytown Street, San Mateo, CA 94402	
Citizenship	
US	
Alter.	
Post Office Address	
1 DÑÁ Way	
South San Francisco, CA 94080	
Signs Signs	
Full-name of second joint inventor, if any	
Kevin P. Baker	
Kevin P. Baker	
Inventada cignotura	Date
Inventor's signature	<del> </del>
Residence	
14006 Indian Run Drive, Darnestown, MD 20878	
17000 indican ran 5000, Sanoscient, iii 2001.	
Citizenship	
GB	
Post Office Address	
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Full name of third joint inventor, if any	
David Botstein	
	Date
Inventor's signature	
Residence	
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2009 Guinelset Dive, Delinoit, OA 04002	
Citizenship	
US	
Post Office Address	
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### Full name of fourth joint inventor

Luc	Desnoyers	

-	
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Full name of fifth joint inventor, if any	
Dan L. Eaton	
Inventor's signature	Date
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Citizenship US	
Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of sixth joint inventor, if any	
Napoleone Ferrara	
Inventor's signature	Date
Residence 2090 Pacific Avenue #704, San Francisco, CA 94109	
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Full name of seventh joint inventor	
Ellen Filvaroff	
Inventor's signature	Date
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Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of thirteenth joint inventor	
Paul J. Godowski	
Inventor's signature	Date
Residence 262/Easton Drive, Burlingame, CA 94010	
Citi <del>že</del> nship US	
Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of fourteenth joint inventor, if any	
J. Christopher Grimaldi	
Inventor's signature	Date
Residence 1434 36 <sup>th</sup> Avenue, San Francisco, CA 94122	
Citizenship US	
Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of fifteenth joint inventor, if any	
Austin L. Gurney	
Inventor's signature	Date
Residence 1 Debbie Lane, Belmont, CA 94002	
Citizenship US	
Post Office Address 1 DNA Way South San Francisco, CA 94080	

### Full name of sixteenth joint inventor Kenneth J. Hillan Date Inventor's signature Residence 64 Seward Street, San Francisco, CA 94114 Citizenship GB Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of seventeenth joint inventor, if any Ivar J. Kljavin Date Inventor's signature Residence 3673 Crescent Drive, Lafayette, CA 94549 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of eighteenth joint inventor, if any Sophia S. Kuo Date Inventor's signature 2 Residence 59 Surrey Street, Apt. 3, San Francisco, CA 94131 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of nineteenth joint inventor Mary A. Napier Date Inventor's signature Residence 1015 Hayne Road, Hillsborough, CA 94010 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080

Full name of twentieth joint inventor, if any	
James Pan	
Inventor's signature	Date
Residence 2705 Coronet Boulevard, Belmont, CA 94002	
Citizenship CA	
Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of twenty-first joint inventor, if any	
Nicholas F. Paoni	
Inventor's signature	Date
Residence 1756 Terrace Drive, Belmont, CA 94002	
Citizenship US	
Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of twenty-second joint inventor	
Maigaret Ann Roy	
Inventor's signature	Date
Residence 2960 Webster Street #4, San Francisco, CA 94123	
Citizenship US	
Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of twenty-third joint inventor, if any	
David L. Shelton	
Inventor's signature	Date
rys	10-10-01
Residence 5845 Clover Drive, Oakland, CA 94618	
Citizenship US	
Post Office Address 1 DNA Way South San Francisco, CA 94080	

Full name of twenty-fourth joint inventor, is any	
Timothy A. Stewart	
Inventor's signature	Date
Residence 465 Douglass Street, San Francisco, CA 94114	
Citizenship US	
Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of twenty-fifth joint inventor	
Daniel Tumas	
Inventor's signature	Date
Residence 3 Rae Avenue, Orinda, CA 94563	
Citizenship US	
Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of twenty-sixth joint inventor, if any	
P. Mickey Williams	
Inventor's signature	Date
Residence 509 Alto Avenue, Half Moon Bay, CA 94019	
Citizenship US	
Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of twenty-seventh joint inventor, if any	
William I. Wood	
Inventor's signature	Date
Residence 35 Southdown Court, Hillsborough, CA 94010	
Citizenship US	
Post Office Address 1 DNA Way South San Francisco, CA 94080	

# COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY

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I believe I am the original first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

## SECRETED AND TRANSMEMBRANE POLYPEPTIDES AND NUCLEIC ACIDS ENCODING THE SAME

the specification of which (check one) is attached hereto or  $\underline{X}$  was filed on  $\underline{July 30, 2001}$  as Application Serial No.  $\underline{09/918,585}$  and was amended on \_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

Iacknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119(a)-(d) of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate have a filing date before that of the application on which priority is claimed:

Prior Foreign A	oplication(s):		Priority Yes	Claimed No
<b>*</b>				
Number	Country	Day/Month/Year Filed		
		" 10' to Orde \$440(a) of any United States n	rovicional applications(s) [	isted below:
I hereby claim th	e benefit under Litle 35, Ut	nited States Code, §119(e) of any United States p	(OVISIONAL applications(s)	iotod bolow.
strate:			** >	
Please see a	attached Appendix A	<u> A (Listing 110 U.S. Provisional Applic</u>	ations)	

Please see attached Appendix A (Listing 110 U.S. Provisional Applications)

Application Ser. No. Filing Date

I hereby claim the benefit under Title 35, United States Code, §120 of any United States applications(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

Please see attached App Application Ser. No.	endix B (Listing 26 U.S. Filing Date	and 38 PCT Patent Applications) Status: Patented, Pending, Abandoned
Application Ser. No.	Filing Date	Status: Patented, Pending, Abandoned

09157

PATENT TRADEMARK OFFICE

Send correspondence to Genentech, Inc.

Attn: Elizabeth M. Barnes, Ph.D. Telephone: (650) 225-4563

I hereby declare that all statements made herein of my own knowledge and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the United States Code and that willful false statements may jeopardize the validity of the application or any patent issued thereon.

ģuši.	
Full name of sole or first inventor	
Avi	
Inventor's signature	Date
Residence	
1456 Tarrytown Street, San Mateo, CA 94402	
Citizenship	
US <sub>col</sub> .	
Post-Office Address	
1 DNA Way South San Francisco, CA 94080	
Full name of second joint inventor, if any	
Kevin P. Baker	
Inventor's signature	Date
Residence 14006 Indian Run Drive, Darnestown, MD 20878	
Citizenship GB	
Post Office Address	
1 DNA Way South San Francisco, CA 94080	
Full name of third joint inventor, if any	
David Botstein	
Inventor's signature	Date
Residence	
2539 Somerset Drive, Belmont, CA 94002	
Citizenship	
US	
Post Office Address	
1 DNA Way South San Francisco, CA 94080	

### Full name of fourth joint inventor

Luc	Desnoyers
-----	-----------

Inventor's signature	Date
Inventor's signature	
Residence	
2050 Stockton Street, San Francisco, CA 94133	
Citizenship	<del></del> .
CA	
Post Office Address	
1 DNA Way South San Francisco, CA 94080	
Full name of fifth joint inventor, if any	
Dan L. Eaton	
Inventor's signature	Date
Strates a financial service of the s	
Residence 75 Knight Drive, San Rafael, CA 94901	
Citizenship US	
Post Office Address	
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Full name of sixth joint inventor, if any	
de la company de	
Napoleone Ferrara	Doto
Inventor's signature	Date
Residence 2090 Pacific Avenue #704, San Francisco, CA 94109	
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Post Office Address	
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Full name of seventh joint inventor	
Ellen Filvaroff	
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Full name of eight joint inventor, if any	
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Wei-Qiang Gao	
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Hanspeter Gerber	
Inventor's signature	Date
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Full name of eleventh joint inventor, if any	
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Inventor's signature	Date
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Full name of twelfth joint inventor, if any	
Audrey Goddard	
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Residence 110 Congo Street, San Francisco, CA 94131	
Citizenship CA	
Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of thirteenth joint inventor	
Paul J. Godowski	
Inventor's signature	Date
Residence 2627 Easton Drive, Burlingame, CA 94010	
Citizenship US	
Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of fourteenth joint inventor, if any	
J. Christopher Grimaldi	
Invertor's signature	Date
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Citizenship US	
Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of fifteenth joint inventor, if any	
Austin L. Gurney	
Inventor's signature	Date
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Citizenship US	
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Full name of sixteenth joint inventor		
Kenneth J. Hillan		
Inventor's signature	Date	
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Citizenship GB		
Post Office Address 1 DNA Way South San Francisco, CA 94080		
Full name of seventeenth joint inventor, if any		
Ivar J. Kljavin		
Inventor's signature	Date	
Residence 3673 Crescent Drive, Lafayette, CA 94549		
Citizenship US		
Post Office Address 1 DNA Way South San Francisco, CA 94080		
Full name of eighteenth joint inventor, if any		=
Soppiia S. Kuo		
Inventor's signature	Date	_
Residence 59 Surrey Street, Apt. 3, San Francisco, CA 94131		
Citizenship US		_
Post Office Address 1 DNA Way South San Francisco, CA 94080		
Full name of nineteenth joint inventor		=
Mary A. Napier		
Inventor's signature	Date	
Residence 1015 Hayne Road, Hillsborough, CA 94010		
Citizenship US		
Post Office Address 1 DNA Way South San Francisco, CA 94080		

Tail hame of twentiens joint liventor, it any	
James Pan	
Inventor's signature	Date
Residence	
2705 Coronet Boulevard, Belmont, CA 94002	
Citizenship	
CA	
Post Office Address	
1 DNA Way South San Francisco, CA 94080	
Full name of twenty-first joint inventor, if any	
Nicholas F. Paoni	
Inventor's signature	Date
र्ह् <del>देश</del> ्चेत	
Residence	
1756 Terrace Drive, Belmont, CA 94002	
Citizenship	
US	
Post Office Address	
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South San Francisco, CA 94080	
Full name of twenty-second joint inventor	
Margaret Ann Roy	
Inventor's signature	Date
ब्यूका इंग्ला इंग्ला	
Residence	
2960 Webster Street #4, San Francisco, CA 94123	
Citizenship	
US	
Post Office Address	
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Full name of twenty-third joint inventor, if any	
David L. Shelton	
Inventor's signature	Date
Residence	
5845 Clover Drive, Oakland, CA 94618	
Citizenship	
US	
Post Office Address	
1 DNA Way South San Francisco, CA 94080	

Full name of twenty-fourth joint inventor, if any	
Timothy A. Stewart	
Inventor's signature	Date
Residence 465 Douglass Street, San Francisco, CA 94114	
Citizenship US	
Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of twenty-fifth joint inventor	
Daniel Tumas	
Inventor's signature Tourist Turns	Date
Residence 3 Rae Avenue, Orinda, CA 94563	
Citizenship US	
Post-Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of twenty-sixth joint inventor, if any	
P. Mickey Williams	
Investor's signature	Date
Residence 509 Alto Avenue, Half Moon Bay, CA 94019	
Citizenship US	
Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of twenty-seventh joint inventor, if any	
William I. Wood	
Inventor's signature	Date
Residence 35 Southdown Court, Hillsborough, CA 94010	
Citizenship US	
Post Office Address 1 DNA Way South San Francisco, CA 94080	

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I addinowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37,

Code of Federal R	egulations, Section	1.56.				
inventor's certificat	te listed below and ha	nder Title 35, United St we also identified below n on which priority is cl	v any foreign applicat	(d) of any foreign applic ion for patent or invento	cation(s) fo or's certific	r patent or ate have a
Prior Foreign Appl					Priority (	Claimed No
Number	Country	Day/Month/	Year Filed			
nation :	ached Appendix	United States Code, §1  A (Listing 110 U.  Filing Date		tates provisional applica	ations(s) lis	Ted Delow:
I hereby claim the I as the subject mat provided by the firs defined in Title 37	penefit under Title 35 ter of each of the clai st paragraph of Title 3 , Code of Federal Re	, United States Code, § ms of this application is 35. United States Code.	not disclosed in the p §112. I acknowledge	ates applications(s) liste prior United States appl e the duty to disclose m he filing date of the pric	aterial info	rmation as
Please see att	ached Appendix	cB (Listing 26 U.S	S. and 38 PCT Pa	atent Applications	)	
Application Ser. N		Filing Date	Status:	Patented, Pending, A	bandoned	
Application Ser. N	lo.	Filing Date	Status:	Patented, Pending, A	bandoned	

09157

PATENT TRADEMARK OFFICE

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\$ "	
Full name of sole or first inventor	
Avi J. Ashkenazi	
Inventor's signature	Date
Total	
Residence 1456 Tarrytown Street, San Mateo, CA 94402	
Citizenship US=	
Post Office Address	
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Full name of second joint inventor, if any	
Kevin P. Baker	
Inventor's signature	Date
Residence 14006 Indian Run Drive, Darnestown, MD 20878	
Citizenship GB	
Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of third joint inventor, if any	
David Botstein	
Inventor's signature	Date
Residence 2539 Somerset Drive, Belmont, CA 94002	
Citizenship US	
Post Office Address 1 DNA Way South San Francisco, CA 94080	

Luc Desnoyers Date Inventor's signature Residence 2050 Stockton Street, San Francisco, CA 94133 Citizenship CA Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of fifth joint inventor, if any Dan L. Eaton Date Inventor's signature Residence 75 Knight Drive, San Rafael, CA 94901 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of sixth joint inventor, if any Napoleone Ferrara Date Inventor's signature Residence 2090 Pacific Avenue #704, San Francisco, CA 94109 Citizenship Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of seventh joint inventor Ellen Filvaroff Date Inventor's signature Residence 538 18th Avenue, San Francisco, CA 94121 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080

Full name of fourth joint inventor

Full name of eight joint inventor, if any Sherman Fong Date Inventor's signature Residence 19 Basinside Way, Alameda, CA 94502 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of ninth joint inventor, if any Wei-Qiang Gao Date Inventor's signature Residence 3025 South Court, Palo Alto, CA 94306 Citizenship CN Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of tenth joint inventor Hanspeter Gerber Date Inventor's signature Residence 1121 Tennessee Street #5, San Francisco, CA 94107 Citizenship CH Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of eleventh joint inventor, if any Mary E. Gerritsen Date Inventor's signature Residence

541 Parrott Drive, San Mateo, CA 94402

South San Francisco, CA 94080

Citizenship CA

Post Office Address 1 DNA Way

Full name of twelfth joint inventor, if any	
Audrey Goddard	
Inventor's signature	Date
Residence 110 Congo Street, San Francisco, CA 94131	
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Full name of thirteenth joint inventor	
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Inventor's signature	Date
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Full name of seventeenth joint inventor, if any	
Ivar J. Kljavin	
Inventor's signature	Date
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Full name of eighteenth joint inventor, if any	
Soonia S. Kuo	
Inventor's signature	Date
Residence 59 Surrey Street, Apt. 3, San Francisco, CA 94131	
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Full name of nineteenth joint inventor	
Mary A. Napier	
Inventor's signature	Date
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James Pan	
Inventor's signature	Date
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Full name of twenty-first joint inventor, if any	
Nicholas F. Paoni	
Inventor's signature	Date
Residence 1756 Terrace Drive, Belmont, CA 94002	
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Full-name of twenty-second joint inventor	
Margaret Ann Roy	
Inventor's signature	Date
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Full name of twenty-third joint inventor, if any	
David L. Shelton	
Inventor's signature	Date
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Inventor's signature	Date
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Full name of twenty-fifth joint inventor	
Daniel Turnas	
Inventor's signature	Date
Residence 3 Rae Avenue, Orinda, CA 94563	
Citizenship US 1	
Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of twenty-sixth joint inventor, if any	
Full name of twenty-sixth joint inventor, if any P. Mickey Williams	8/27/01
	8/27/0/ Date
P. Mickey Williams  Inventor's signature	8/27/0/ Date
P. Mickey Williams Inventor's signature Residence	8/27/0/ Date
P. Mickey Williams Inventor's signature Residence 509 Alto Avenue, Half Moon Bay, CA 94019  Citizenship	8/27/0/ Date
P. Mickey Williams Inventor's signature Residence 509 Alto Avenue, Half Moon Bay, CA 94019  Citizenship US  Post Office Address 1 DNA Way	8/27/0/ Date
P. Mickey Williams Inventor's signature Residence 509 Alto Avenue, Half Moon Bay, CA 94019  Citizenship US  Post Office Address 1 DNA Way South San Francisco, CA 94080	8/2-7/0/ Date
P. Mickey Williams Inventor's signature Residence 509 Alto Avenue, Half Moon Bay, CA 94019  Citizenship US  Post Office Address 1 DNA Way South San Francisco, CA 94080  Full name of twenty-seventh joint inventor, if any	8/2-7/0/ Date /
P. Mickey Williams Inventor's signature  Residence 509 Alto Avenue, Half Moon Bay, CA 94019  Citizenship US  Post Office Address 1 DNA Way South San Francisco, CA 94080  Full name of twenty-seventh joint inventor, if any  William I. Wood	
P. Mickey Williams Inventor's signature  Residence 509 Alto Avenue, Half Moon Bay, CA 94019  Citizenship US  Post Office Address 1 DNA Way South San Francisco, CA 94080  Full name of twenty-seventh joint inventor, if any  William I. Wood  Inventor's signature	

Docket No. P2630P1C1

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I hereby state that amended by any a	I have reviewed and mendment referred	understand the cont to above.	ents of the above-ider	tified specification, inclu	ding the o	claims, as
Code of Federal R	Regulations, Section	1.56.		of this application in accor		
I hereby claim fore inventor's certificat	te listed below and ha	inder Title 35, United ave also identified bel n on which priority is	ow any toreign applica	(d) of any foreign applica tion for patent or inventor	tion(s) for 's certifica	r patent or ate have a
Prior Foreign Appl	lication(s):				Priority C Yes	<u>No</u>
Number	Country	-	n/Year Filed			
- 13 · · ·			§119(e) of any United S J.S. Provisional <i>I</i>	states provisional applicat	ions(s) lis	ted below:
Application Ser. N	lo.	Filing Date				
as the subject mat provided by the first defined in Title 37 national or PCT in	ter of each of the cla st paragraph of Title , Code of Federal Ro nternational filing da	ms of this application 35, United States Coc egulations, §1.56 whi te of this application:	is not disclosed in the le, §112, I acknowledg ch occurred between	ates applications(s) listed prior United States applic e the duty to disclose ma the filing date of the prior	terial infor application	mation as
		x B (Listing 26 U	Status:	atent Applications) Patented, Pending, Ab	andoned	
Application Ser. N	10.	Filing Date	Glatus.	Tatoritoa, Fortality, The		
Application Cor N	Jo.	Filing Date	Status	Patented, Pending, Ab	andoned	

Status: Patented, Pending, Abandoned Filing Date Application Ser. No.

09157

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parti.	
Full name of sole or first inventor	
Ashkenazi	
[PAC)	Date
Inventor's signature	Date
Residence	
1456 Tarrytown Street, San Mateo, CA 94402	
Citizenship	
US-di	
Post Office Address	
1 DNA Way South San Francisco, CA 94080	
H A STATE ST	
Full name of second joint inventor, if any	
Kevin P. Baker	
Inventorio cianoturo	Date
Inventor's signature	
Residence	
14006 Indian Run Drive, Damestown, MD 20878	
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GB	
Post Office Address	
1 DNA Way	
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Full name of third joint inventor, if any	
David Botstein	
	Date
Inventor's signature	
Residence	
2539 Somerset Drive, Belmont, CA 94002	
Citizenship	
US	
Post Office Address	
1 DNA Way	
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Full name of fourth joint inventor	
Luc Desnoyers	
Inventor's signature	Date
Residence 2050 Stockton Street, San Francisco, CA 94133	
Citizenship CA	
Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of fifth joint inventor, if any	
Dan L. Eaton	
Inventor's signature	Date
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Citizenship	
Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of sixth joint inventor, if any	
Napoleone Ferrara	
Inventor's signature	Date
Residence 2090 Pacific Avenue #704, San Francisco, CA 94109	
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Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of seventh joint inventor	
Ellen Filvaroff	
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Full name of eight joint inventor, if any	
Sherman Fong	
Inventor's signature	Date
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Full name of ninth joint inventor, if any	
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Full name of eleventh joint inventor, if any	
Mary E. Gerritsen	
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Page 5 Full name of twelfth joint inventor, if any **Audrey Goddard** Date Inventor's signature Residence 110 Congo Street, San Francisco, CA 94131 Citizenship CA Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of thirteenth joint inventor Paul J. Godowski Date Inventor's signature Residence 2627 Easton Drive, Burlingame, CA 94010 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of fourteenth joint inventor, if any J. Christopher Grimaldi Date Inveritor's signature Residence 1434 36th Avenue, San Francisco, CA 94122 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of fifteenth joint inventor, if any Austin L. Gurney Date Inventor's signature Residence

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#### Full name of sixteenth joint inventor

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	Deb
Inventor's signature	Date
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Full name of seventeenth joint inventor, if any	
Ivar J. Kljavin	
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Full name of eighteenth joint inventor, if any	
Sopriia S. Kuo	
Inventor's signature	Date
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Mary A. Napier	
Inventor's signature	Date
Residence 1015 Hayne Road, Hillsborough, CA 94010	
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Full name of twentieth joint inventor, if any James Pan Date Inventor's signature Residence 2705 Coronet Boulevard, Belmont, CA 94002 Citizenship CA Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of twenty-first joint inventor, if any Nicholas F. Paoni Date Inventor's signature jane); Residence 1756 Terrace Drive, Belmont, CA 94002 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of twenty-second joint inventor Margaret Ann Roy Date Inventor's signature Residence 2960 Webster Street #4, San Francisco, CA 94123 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of twenty-third joint inventor, if any David L. Shelton Date Inventor's signature Residence 5845 Clover Drive, Oakland, CA 94618 Citizenship

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rull name of twenty-tourist joint inventor, it any		
Timothy A. Stewart		
Inventor's signature	Date	
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Full name of twenty-fifth joint inventor		
Daniel Tumas		
Inventor's signature	Date	
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Full name of twenty-sixth joint inventor, if any		
P. Mickey Williams		
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Full name of twenty-seventh joint inventor, if any		
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Inventor's signature	Date	
William A. Would	10 [3 0]	
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